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1981/10-1985/06 國立政治大學企業管理學系學士

1988/09-1990/06 國立台灣大學商學研究所碩士

1992/08-1996/05 PhD in Finance, the University of Iowa, Iowa City, IA, US

經歷：

2023-present 臺銀證券股份有限公司風險管理委員會召集人

2022-present 中山大學管理學院副院長

2022-2023 交通部公路總局「對受嚴重特殊傳染性肺炎影響發生營運困難公路汽車客運業紓困貸款」審查委員

2022-2024 台灣證券交易所「有價證券上市審議委員會」委員

2020-present 台灣證券交易所「公司治理中心」評鑑委員

2020 台灣證券交易所「有價證券上市審議委員會」委員

2019-present 中山大學特聘教授

2019-present 臺銀證券股份有限公司董事會獨立董事

2019-present 中山管理評論編輯顧問委員

2019 中山大學管理學院副院長

2018-2022 交通部公路總局「遊覽車客運業審議會」審議委員

2018 台灣證券交易所「有價證券上市審議委員會」委員

2015-2019 中山管理評論總編輯

2012-2016 交通部公路總局「公路汽車客運審議會」審議委員

2010-2012 國立中山大學企業管理學系主任暨醫務管理研究所所長

2010 高雄市 98 年度公私立高級中等以下學校教育經費評鑑委員

2009-2012 Editorial Advisory Board, International Journal of Accounting and Information Management

2007-2010 國立高雄應用科技大學學報編輯委員

2007 美國 Pennsylvania State University 訪問學者

2007 財團法人高等教育評鑑中心基金會大學評鑑委員

2004-2015 中山管理評論編輯委員

2003-2004 美國 Louisiana State University 訪問學者

2003-2004 Fulbright scholar

2000-2003 中山管理評論總編輯

2000-present 中山大學企管系教授

1996-2000 中山大學企管系副教授

獲獎與榮譽：

2023 中山大學「教學優良課程」教師

2022 中山大學「教學優良課程」教師

2021 中山大學研究績優獎

2021 中山大學「教學優良課程」教師

2020 中山大學「教學優良課程」教師

2019 Best Paper Award, 2019 Korean Accounting Associations and the Third Asian Accounting Associations Joint Annual Conference

2019 中山大學特聘教授(至退休或離職為止)

2019 中山管理評論總編輯

2019 中山大學「教學優良課程」教師

2018 中山大學研究績優獎

2018 第八屆聯電經營管理論文獎佳作獎

2018 中山管理評論總編輯

2018 中山大學「教學優良課程」教師

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2017 中山大學研究績優獎

2017 第七屆聯電經營管理論文獎佳作獎

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2016 中山管理評論總編輯

2016 中山大學研究績優獎

2015 中山大學「教學優良課程」教師

2015 中山管理評論總編輯

2015 中山大學研究績優獎

2014 第四屆聯電經營管理論文獎優等獎

2014 第四屆聯電經營管理論文獎佳作獎

2014 103 年管理學報年度論文獎

2014 中山大學研究績優獎

2013 中山大學研究績優獎

2012 Best Paper Award, 7th Annual London Business Research Conference

2012 2012 商業現代化學術研討會最佳論文獎

2012 中山大學研究績優獎

2011 One of up to eight best papers for the Special Issue of AJFS on Emerging Capital Markets, Asia-Pacific Journal of Financial Studies

2011 100 年管理學報年度論文獎

- 2011 中山大學研究績優獎
- 2010 九十九年管理學報年度論文獎
- 2010 中山大學研究績優獎
- 2009 中山大學研究績優獎
- 2008 九十七年管理學報年度論文獎
- 2008 中山大學研究績優獎
- 2007 九十六年管理學報年度論文獎
- 2007 中山大學研究績優獎
- 2006 國科會第四十五屆補助科學與技術人員國外短期研究
- 2005 2005 年「台灣財務金融學會年會暨論文研討會」最佳論文獎
- 2004 九十二年度管理科學學會高雄市分會青年管理獎章
- 2003 中山管理評論總編輯
- 2002 國科會第四十一屆補助科學與技術人員國外短期研究
- 2002 中山管理評論總編輯
- 2002 2003-2004 Fulbright 資深學者赴美研究獎助金
- 2001 中山管理評論總編輯
- 2001 八十九學年度國科會甲種研究獎勵
- 2000 國立中山大學研究績優新人獎
- 2000 中山管理評論總編輯
- 2000 八十八學年度國科會甲種研究獎勵
- 2000 八十八年度中國財務學會碩士論文比賽，論文指導佳作獎
- 2000 八十九年管理學報年度論文獎
- 1999 國立中山大學管理學院優良導師獎
- 1999 國立中山大學管理學院學術研究新人獎
- 1999 八十七學年度國科會甲種研究獎勵
- 1998 龍騰論文獎，經營管理組優等碩士論文指導獎
- 1998 全國管理碩士論文獎，財務管理組佳作論文指導獎
- 1998 八十六學年度國科會甲種研究獎勵
- 1998 八十七年管理學報年度論文獎
- 1997 美國 Beta Gamma Sigma 會員
- 1997 八十五學年度國科會甲種研究獎勵

期刊論文發表

1. Kao, Lanfeng, Min-Hsien Chiang and Anlin Chen (2024), To Mitigate the Effect of Underwriter Bargaining Power on IPO Pricing through Audit Committee under an Economy of Little Information Asymmetry, Review of Quantitative Finance and Accounting, 63, 147-167. (科技部財務領域 ATier-2, 一般財務領域前 23.44%期刊)
2. Kao, Lanfeng, Anlin Chen and Cheng-Shou Lu (2022), Retail Investor Attention

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3. Kao, Lanfeng, Anlin Chen and Chih-Hsiang Chen (2021), A Direct Test of Attention Theory through an Examination of Retail Investor Behavior: Evidence from Taiwanese IPO Subscriptions, *Advances in Pacific Basin Business, Economics and Finance*, forthcoming, Publisher: Emerald Publishing Limited.
 4. Kao, Lanfeng and Anlin Chen (2020), How a Pre-IPO Audit Committee Improves IPO Pricing Efficiency in an Economy with Little Value Uncertainty and Information Asymmetry, *Journal of Banking and Finance*, 110, 105688. (SSCI, 科技部財務領域 ATier-1, 一般財務領域前 4.69%期刊)
 5. Kao, Lanfeng, Anlin Chen, and Chandrasekhar Krishnamurti (2020), Outcome Model or Substitute Model of D&O Insurance on IPO Pricing without Information Asymmetry before Issuance, *Pacific-Basin Finance Journal*, 61, 101300. (SSCI, 科技部財務領域 ATier-2, 一般財務領域前 17.19%期刊).
 6. Tang, Hui-wen and Anlin Chen (2020), How Do Market Power and Industry Competition Influence the Effect of Corporate Governance on Earnings Management?, *Quarterly Review of Economics and Finance*, 78, 212-225. (科技部財務領域 A-, 一般財務領域前 31.25%期刊)
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 8. Wang, T. Alan and Anlin Chen (2020), The Causes and Consequences of Stock Pledging by Controlling Shareholders: The Case of Taiwan, *Advances in Pacific Basin Business, Economics and Finance*, 99-129, Publisher: Emerald Publishing Limited.
 9. Kao, Lanfeng and Anlin Chen (2019), Partial Adjustment of Hybrid Book-building IPOs with a pre-IPO Market, *Quarterly Review of Economics and Finance*, 74, 292-300. (國科會財務領域 A-, 一般財務領域前 31.97%期刊)
 10. Kao, Lanfeng, Anlin Chen, and Cheng-Shou Lu (2017), Ex ante and Ex post Overvalued Equities: The Roles of Corporate Governance and Product Market Competition, *Asia Pacific Management Review*, 23 (3), 209-221. (TSSCI).
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 12. 盧正壽、陳安琳 (2017) 自管理者過度自信觀點解釋 IPOs 長短期報酬異象, *管理學報*, 34 (1), 31-54, (TSSCI).

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16. Chang, Hsiu-Hua, Anlin Chen, Lanfeng Kao, and Chin-shun Wu (2014), IPO Price Discovery Efficiency under Alternative Regulatory Constraints: Taiwan, Hong Kong and the U.S., *International Review of Economics and Finance*, 29 (January), 83-96, (SSCI, 國科會財務領域 B+, 一般財務領域前 32.79%期刊).
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21. Lu, Cheng-Shou, Lanfeng Kao, and Anlin Chen (2012), The Effects of R&D, Venture Capital, and Technology on the Underpricing of IPOs in Taiwan, *Review of Quantitative Finance and Accounting*, 39 (4), 423-445, (Scopus, 國科會財務領域 A-, 一般財務領域前 27.87%期刊, 會計領域 ATier-2).
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- Bank Performance: Evidence from Collateralized Stocks in Taiwan, *Journal of Banking and Finance*, 35 (2), 300-309, (SSCI, 國科會財務領域 ATier-1, 一般財務領域前 7.38%期刊).
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 26. Kao, Lanfeng, Dennis K. J. Lin, and Anlin Chen (2011), Does Sarbanes-Oxley Act Affect Earnings Quality?, *Review of Accounting and Auditing Studies*, 1 (1), 1-23.
 27. Chen, Anlin, Lanfeng Kao, and Meilan Tsao (2010), To Improve Investors' Valuation of Accounting Earnings in Emerging Markets: Evidence from Taiwan, *Canadian Journal of Administrative Sciences*, 27 (4), 376-390, (SSCI).
 28. Lin, Dennis K. J., Lanfeng Kao, and Anlin Chen (2010), Winner's Curse in Initial Public Offering Subscriptions with Investors' Withdrawal Options, *Asia-Pacific Journal of Financial Studies*, 39 (1), 1-25, (SSCI, 國科會財務領域 B+, 一般財務領域前 46.72%期刊).
 29. 盧正壽、陳安琳 (2010), 一刀兩刃效果—論研究發展支出對 IPOs 發行折價之影, *管理學報*, 27 (2), 185-203, (TSSCI, 100 年管理學報年度論文獎, 第四屆聯電經營管理論文獎優等獎).
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 33. 汪志勇、陳安琳、劉維琪 (2009), 次順位債券與市場規範, *管理學報*, 26 (6), 653-672 (TSSCI, 九十九年管理學報年度論文獎, 第四屆聯電經營管理論文獎佳作獎).
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37. 王朝仕、陳振遠、陳安琳 (2007), 新上市公司股票投資人狂熱行為之研究, *中山管理評論*, 15 (4), 713-750, (TSSCI).
38. Chen, Anlin, Lanfeng Kao, and Yi-Kai Chen (2007), Agency Costs of Controlling Shareholders' Share Collateral with Taiwan Evidence, *Review of Pacific Basin Financial Markets and Policies*, 10 (2), 1-19, (Econlit, FLI, 國科會財務領域 B 級).
39. 高蘭芬、陳安琳、余育欣、盧正壽 (2007), 運氣好或操作策略好? — 拔靴法下共同基金之績效衡量, *管理與系統*, 14 (3), 341-358, (TSSCI).
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42. Chen, Anlin and Lanfeng Kao (2006), The Benefit of Excluding Institutional Investors from Fixed-Priced IPOs: Evidence from Taiwan IPOs, *Emerging Markets Finance and Trade*, 42 (6), 5-24, (SSCI, JCR 2005 impact factor: 0.259, NSC94-2416-H-110-031). 2005 年「台灣財務金融學會年會暨論文研討會」最佳論文獎.
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53. Kao, Lanfeng, Jeng-Ren Chiou and Anlin Chen (2004), The Agency Problems, Firm Performance and Monitoring Mechanisms: The Evidence from Collateralized Shares in Taiwan, Corporate Governance: An International Review, 12 (3), 389-402, (SSCI, JCR 2005 impact factor: 1.431).
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55. 高蘭芬、陳安琳 (2004), 台灣新上市股票存在承銷商安定操作行為嗎?—安定操作之直接衡量, 證券市場發展季刊, 16 (1), 53-96, (NSC91-2416-H-110-026), (TSSCI).
56. Kao, Lanfeng and Anlin Chen (2004), The Effects of Board Characteristics on Earnings Management, Corporate Ownership and Control, 1 (3), 96-107, (EBSCOhost).
57. 洪振虔、吳欽杉、陳安琳 (2003), 新上市公司股票刻意偏低定價之影響因素—隨機前緣模式的運用, 管理學報, 20 (1), 111-139, (TSSCI).
58. 高蘭芬、陳安琳 (2003), 網路公開發行股份創意之思考, 產業管理學報, 4 (2), 257-283.
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